

Nuclear-spin dynamics of interstellar water ice

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Nuclear-spin isomers of H₂O (oxygen hydride)

Like H₂, **ortho** ($I = 1$, triplet) and **para** ($I = 0$, singlet) H₂O.

Proton is a fermion with a nuclear spin angular momentum of $I = 1/2$.

The Pauli principle:

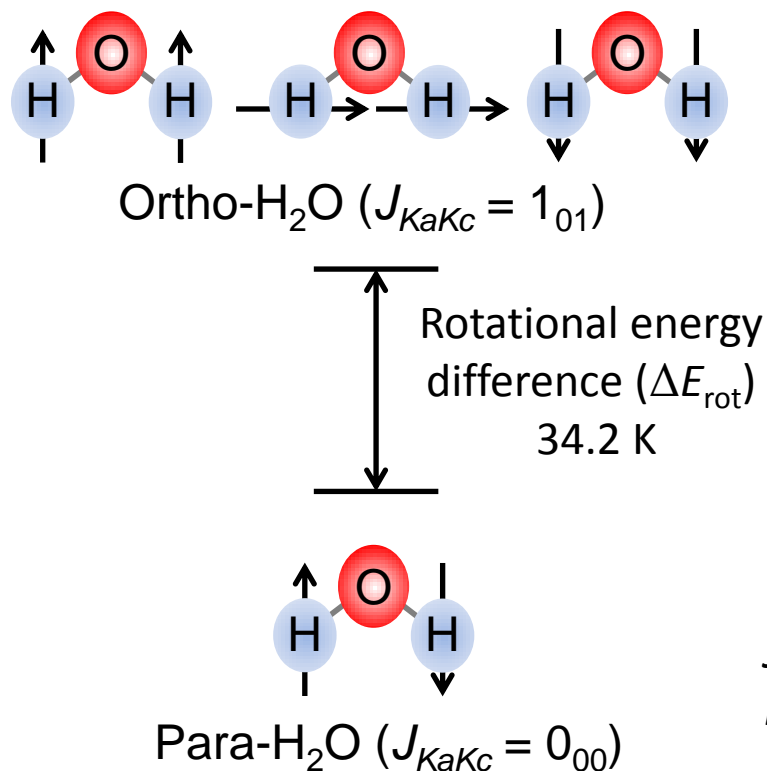
(1) Ortho-H₂O and para-H₂O must exist in different rotational states.

In the electronic and vibrational ground state H₂O $X^1A_1(v=0, J_{Ka, Kc})$,

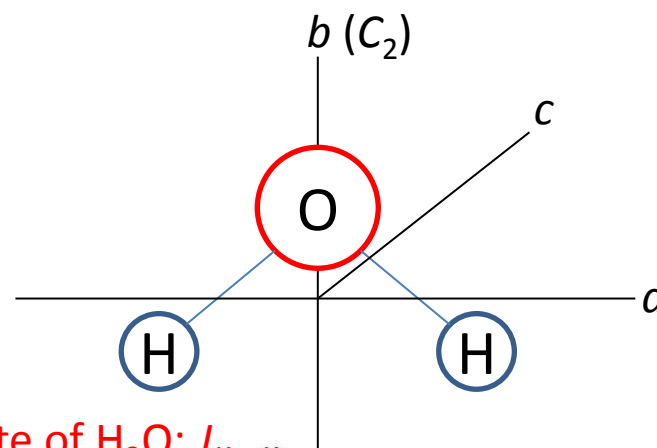
Ortho-H₂O $\rightarrow K_a + K_c = \text{odd}$ ($J_{Ka, Kc} = 1_{01}$)

Para-H₂O $\rightarrow K_a + K_c = \text{even}$ ($J_{Ka, Kc} = 0_{00}$)

(2) Nuclear spin conversion is very slow in the gas phase by radiation or nonreactive collisions.



Miani and Tennyson, JCP, 2004, 120, 2732.
Cacciani et al., Phys. Rev. A, 2012, 85, 012521.



Rotational state of H₂O: $J_{Ka, Kc}$

J : the total rotational angular momentum.

K_a : the projection of J on the molecular a axis.

K_c : the projection of J on the molecular c axis.

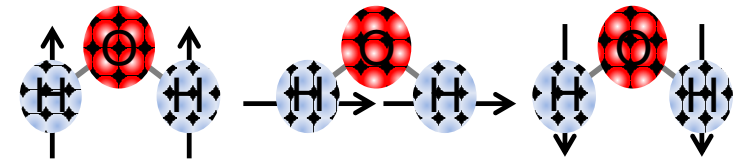
The ortho-to-para ratio (OPR) is related to the spin temperature (T_{spin}), defined at thermodynamic equilibrium.

Spin and
rotational
degeneracy

Rotational
energy

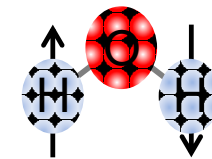
$$\text{OPR} = \frac{3 \sum (2J + 1) \exp \left[\frac{-E_o(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}{\sum (2J + 1) \exp \left[\frac{-E_p(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}$$

Because of the ΔE_{rot} of 23.8 cm^{-1} (34.2 K), para- H_2O ($J_{K_a, K_c} = 0_{00}$) is more stable than ortho- H_2O ($J_{K_a, K_c} = 1_{01}$) in the gas phase below 50 K.

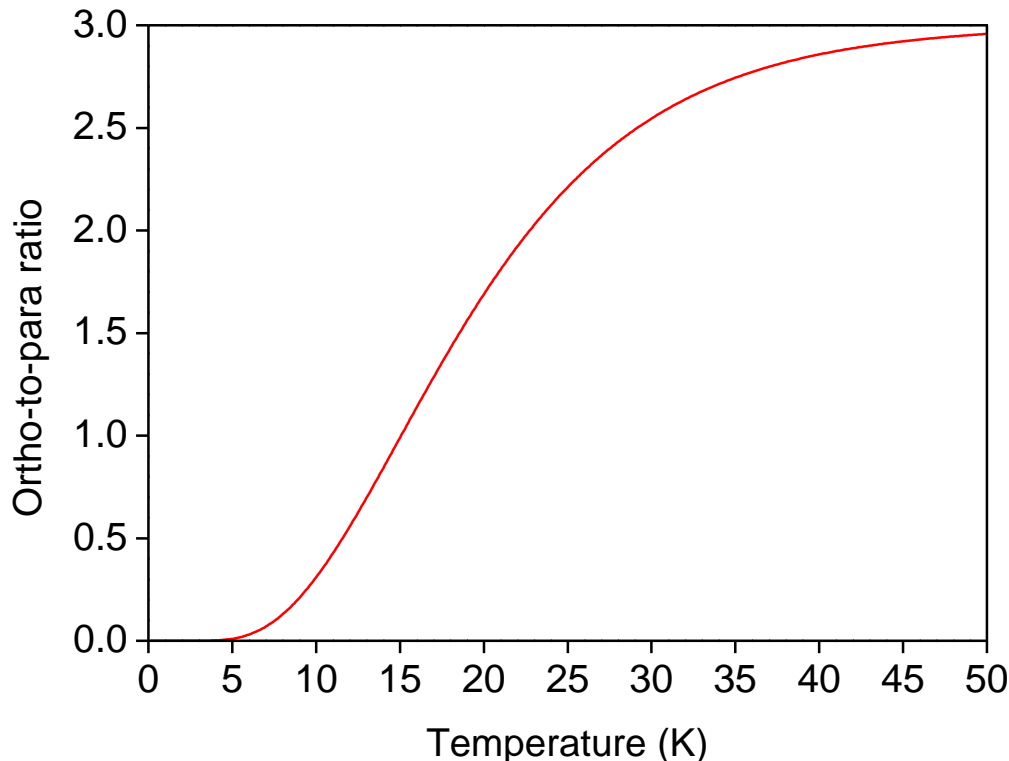


Ortho- H_2O ($l = 1, J_{K_a K_c} = 1_{01}$)

Rotational energy
difference
34.2 K

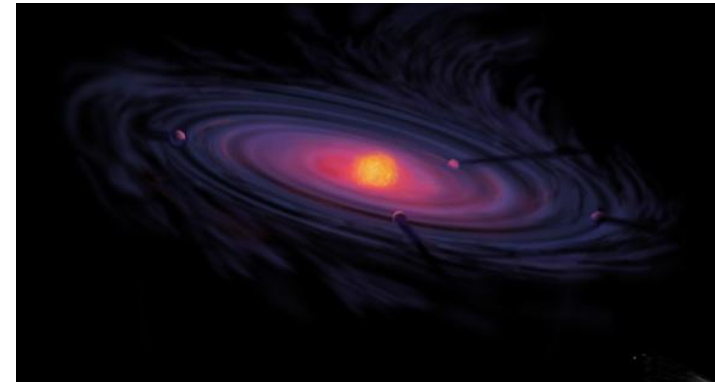
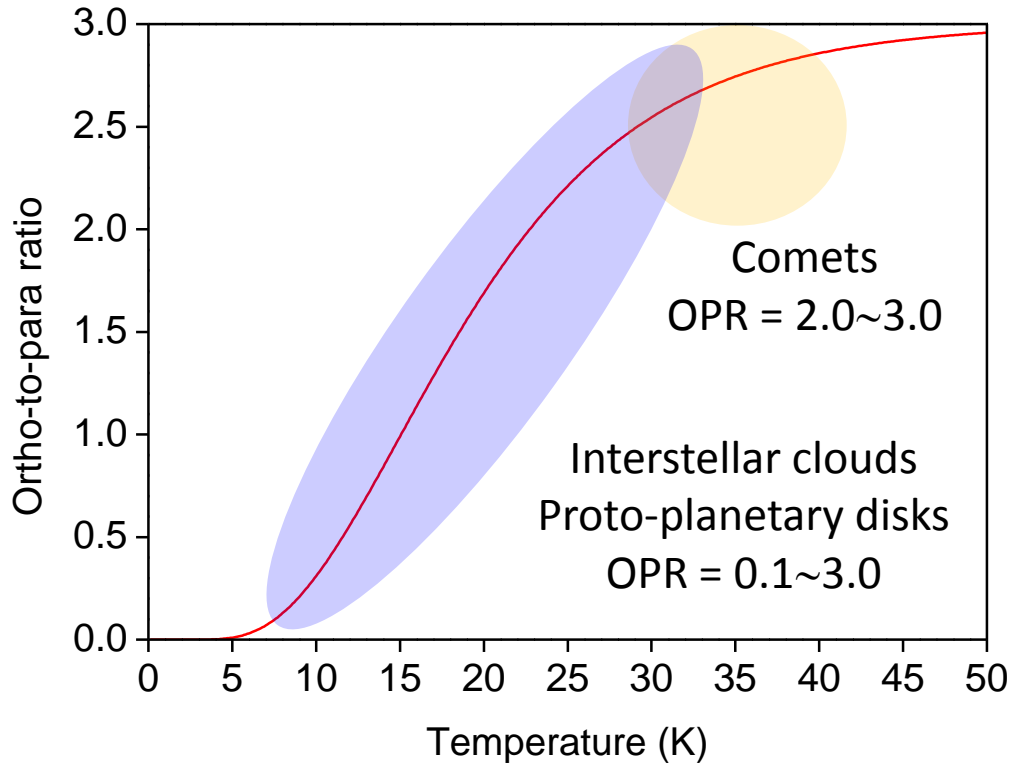


Para- H_2O ($l = 0, J_{K_a K_c} = 0_{00}$)

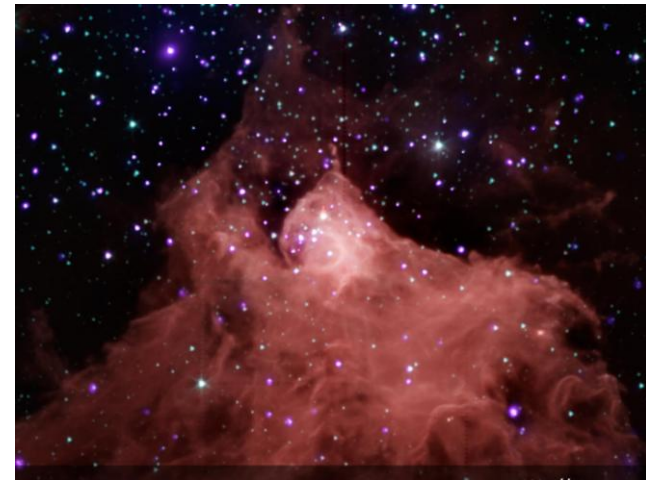


A probe for
low-temperature regions?

The anomalous OPRs of gaseous H₂O in space



**The OPR is a tracer for
the water formation temperature ?**



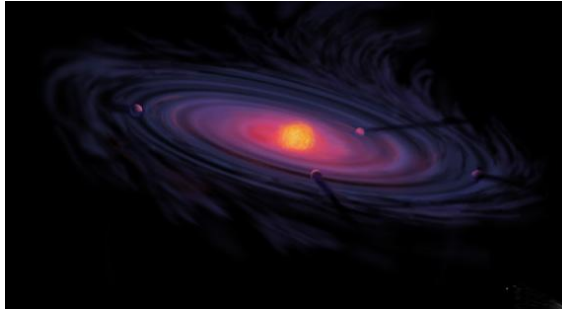
- Lis et al., *Astron. Astrophys.* 521, L26 (2010).
- Hogerheijde et al., *Science* 334, 338 (2011).
- Choi et al., *Astron. Astrophys.* 572, L10 (2014).
- Willacy et al., *Space Sci. Rev.* 197, 151 (2015).

T_{spin} is the cometary ice formation temperature 4.6 billion years ago ?

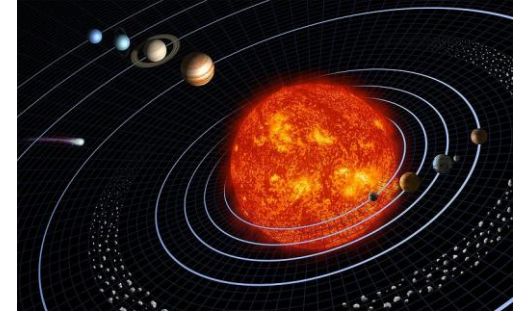
Interstellar clouds



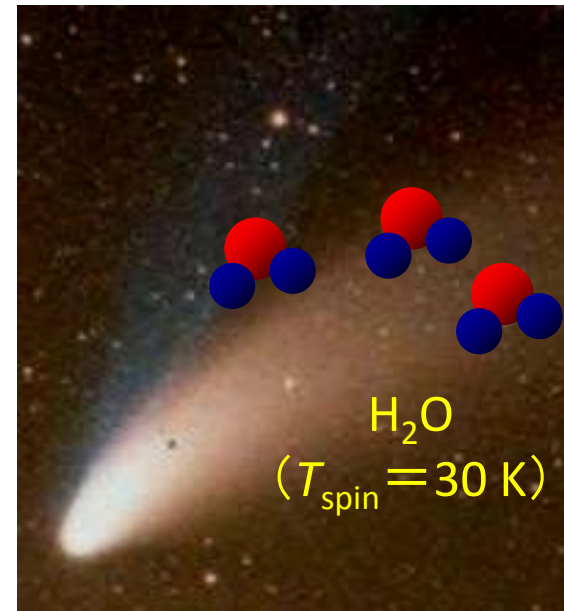
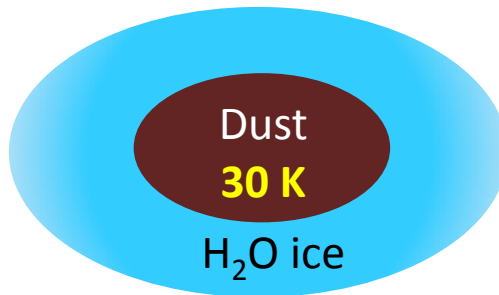
Proto-planetary disks



The Solar -system



Comets

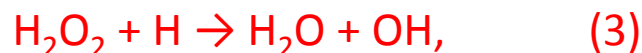
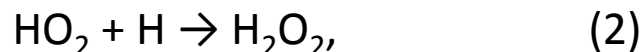


Detection of Water Vapor in Halley's Comet
Mumma et al., Science 232, 1523 (1986).

The meaning of the observed T_{spin} remains a topic of continuing debate.

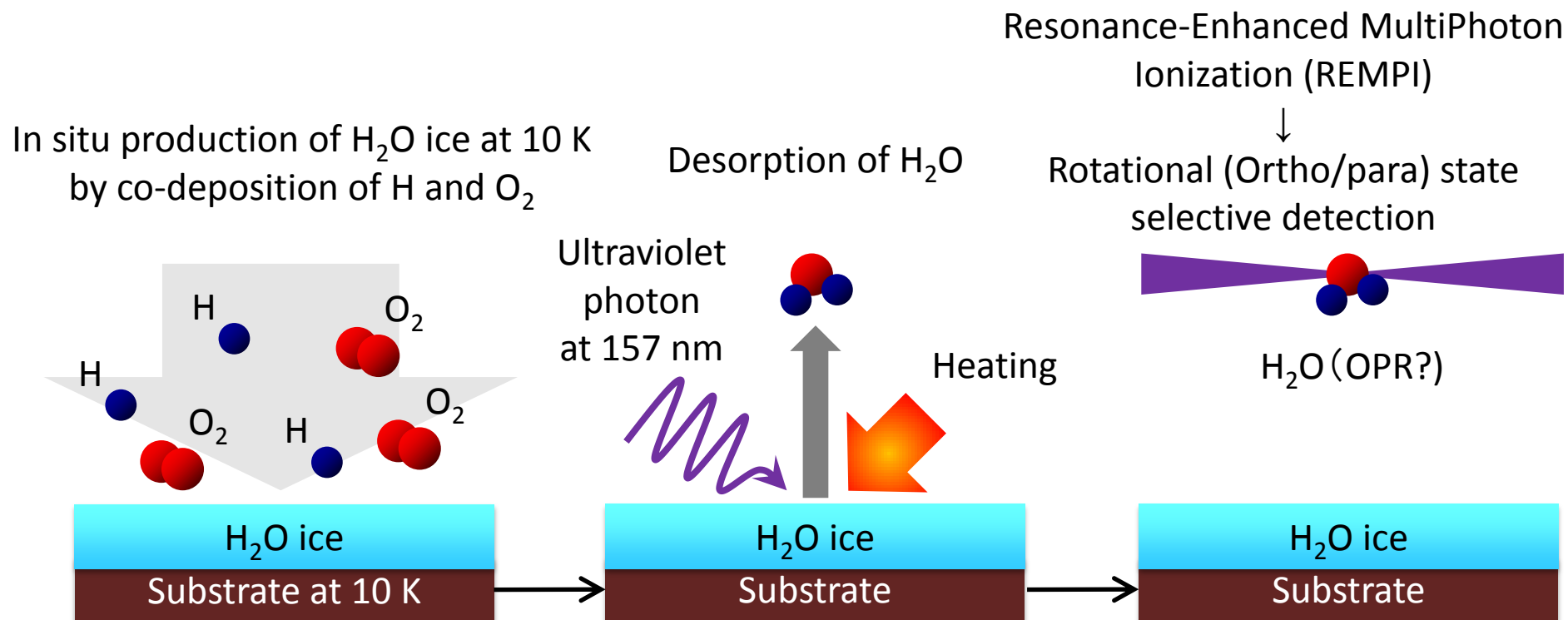
Measurement of the OPR of desorbed H₂O from water ice at 10 K

To test the relation between T_{spin} and the formation temperature, H₂O ice was produced in situ through the hydrogenation of solid O₂ at 10 K.

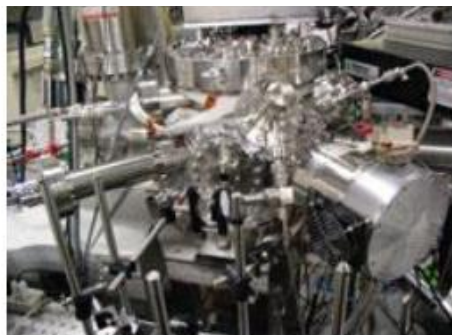


Reactions (3) – (5) are the formation processes of interstellar H₂O ice.

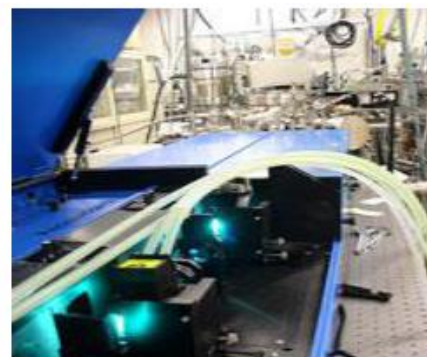
Van Dishoeck, Herbst, and Neufeld, Chem. Rev. 113, 9043 (2013).



Experiment with RASCAL



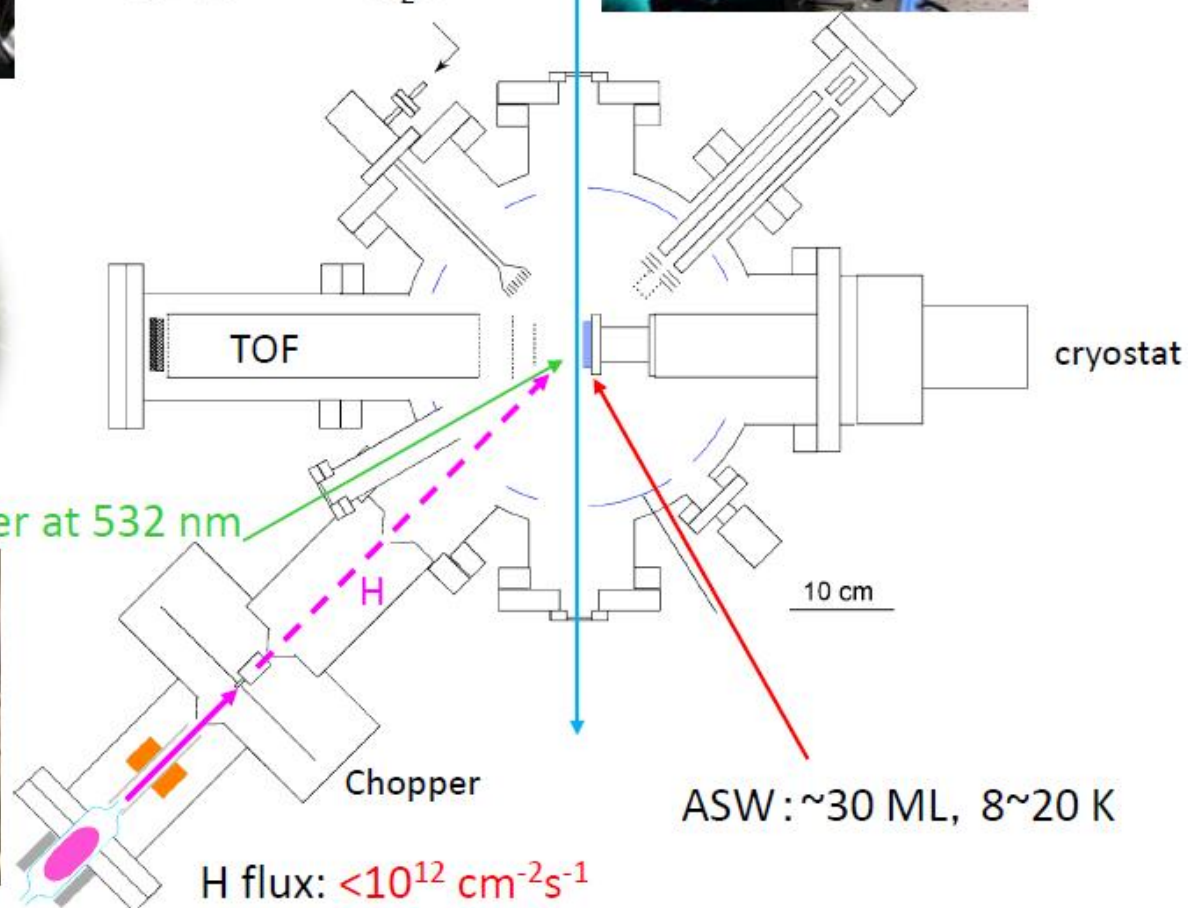
REMPI laser



H₂O



PSD laser at 532 nm



Microwave H or D atom source

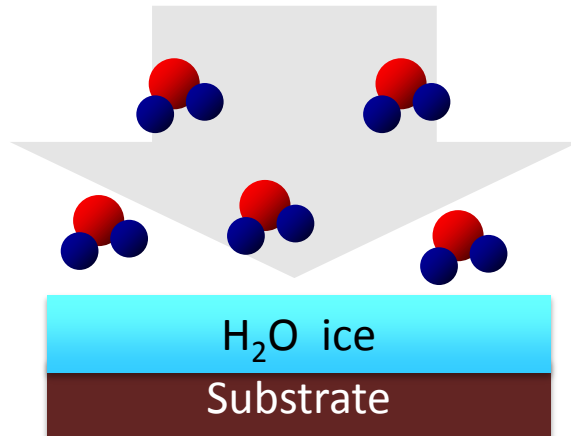
H flux: $<10^{12} \text{ cm}^{-2} \text{ s}^{-1}$

Infrared reflection–absorption spectra at 4000–800 cm^{-1} .

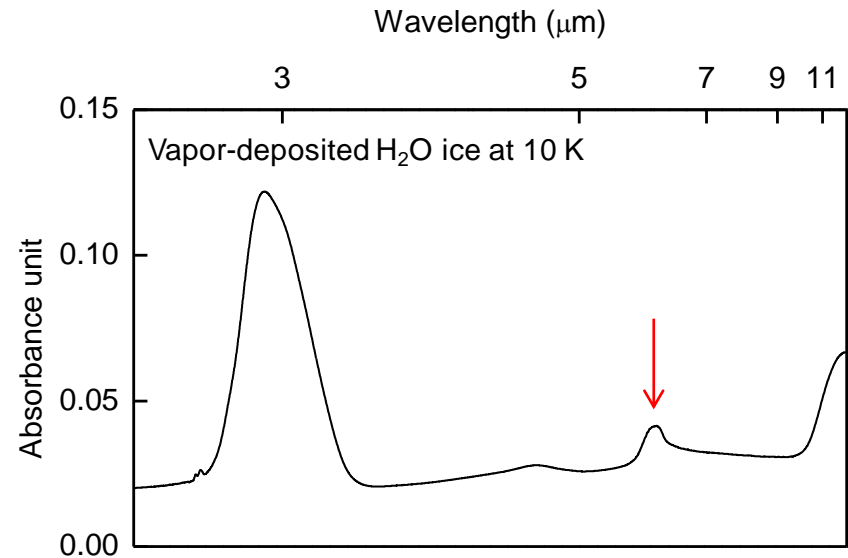
(A) Vapor-deposited H_2O ice at 10 K.

(B) H_2O ice produced in situ by co-deposition of O_2 with atomic H at 10 K for 420 min.

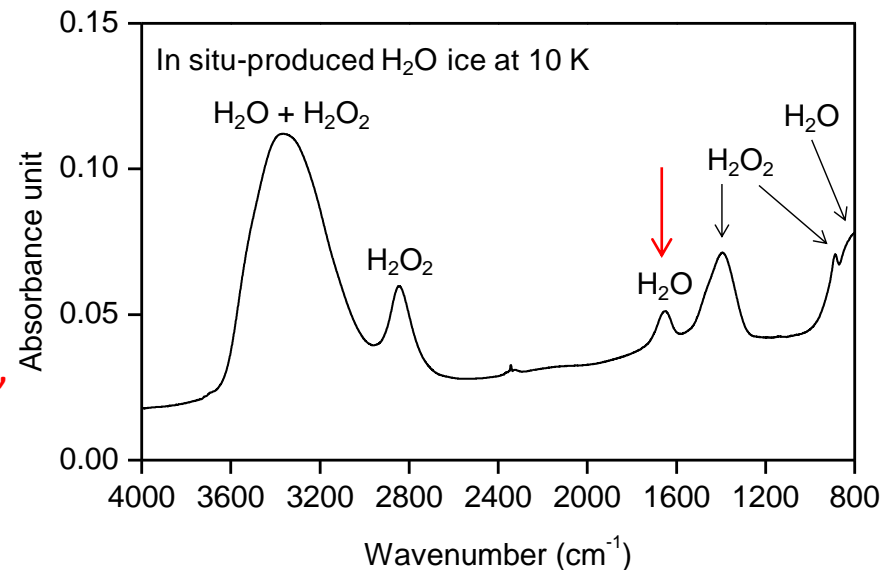
(A) Vapor-deposited H_2O ice at 10 K.



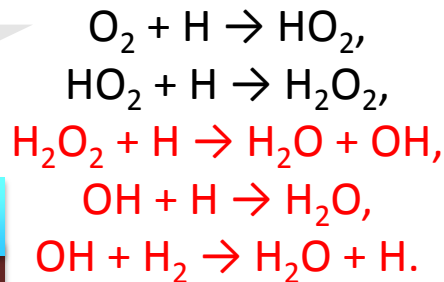
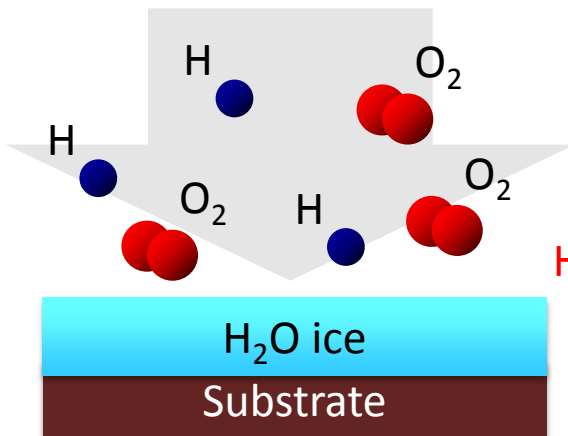
A



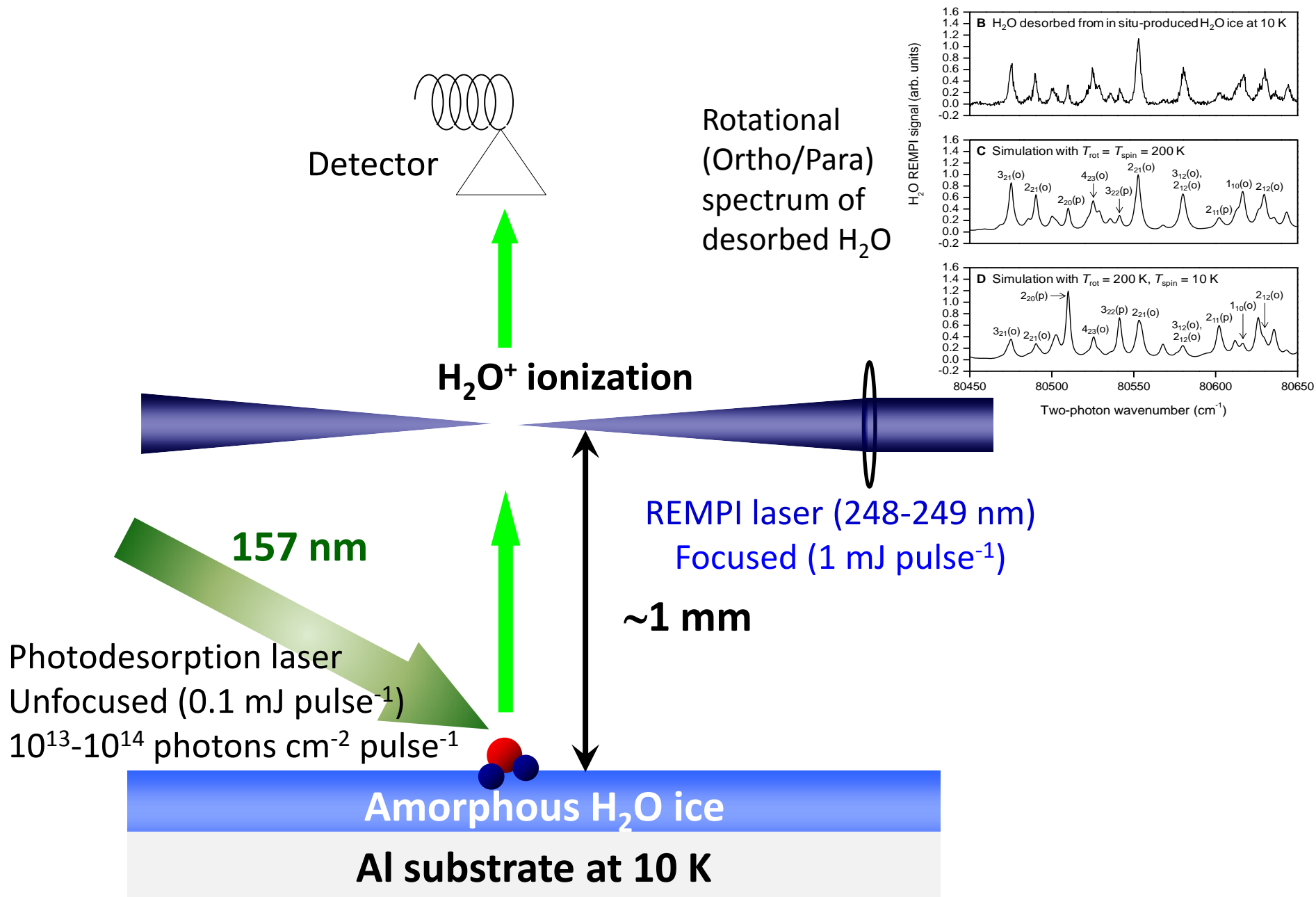
B



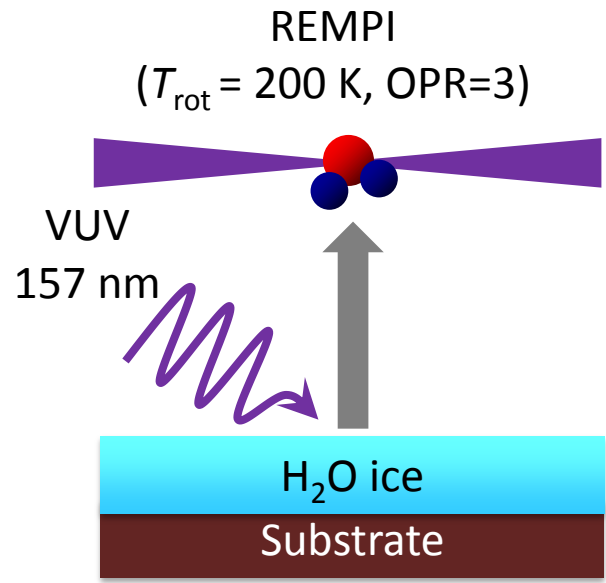
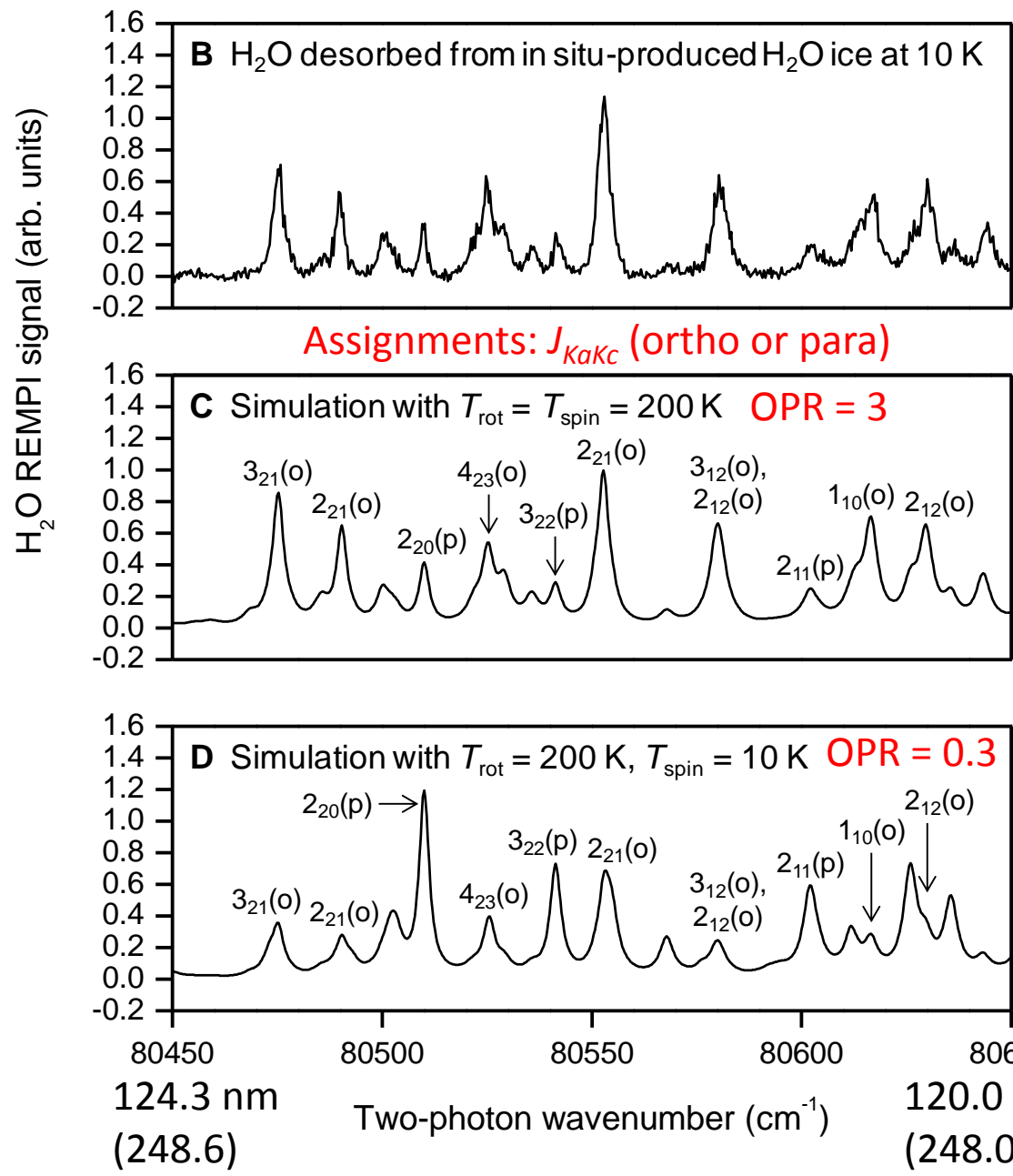
In situ production of H_2O ice at 10 K
by hydrogenation of O_2



Resonance Enhanced Multi-Photon Ionization (REMPI) spectroscopy



REMPI rotational spectrum of H₂O photodesorbed from ice at 10 K (interstellar clouds)



Stronger ortho-H₂O lines than para-H₂O lines.

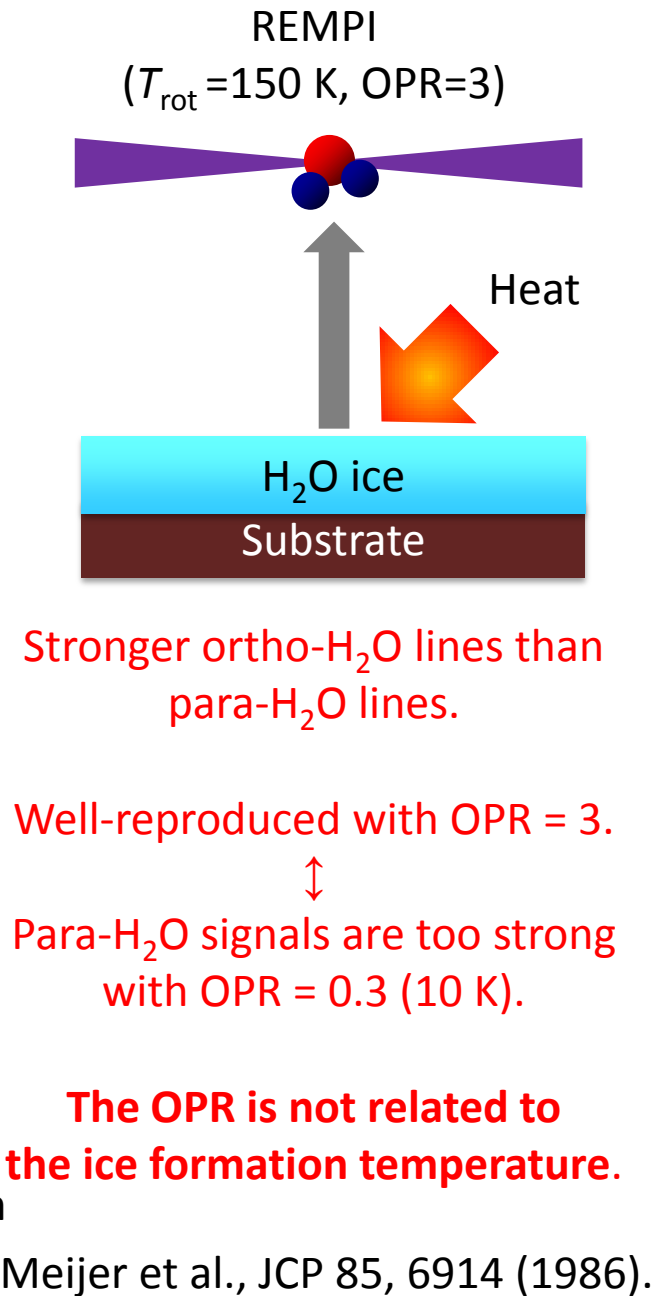
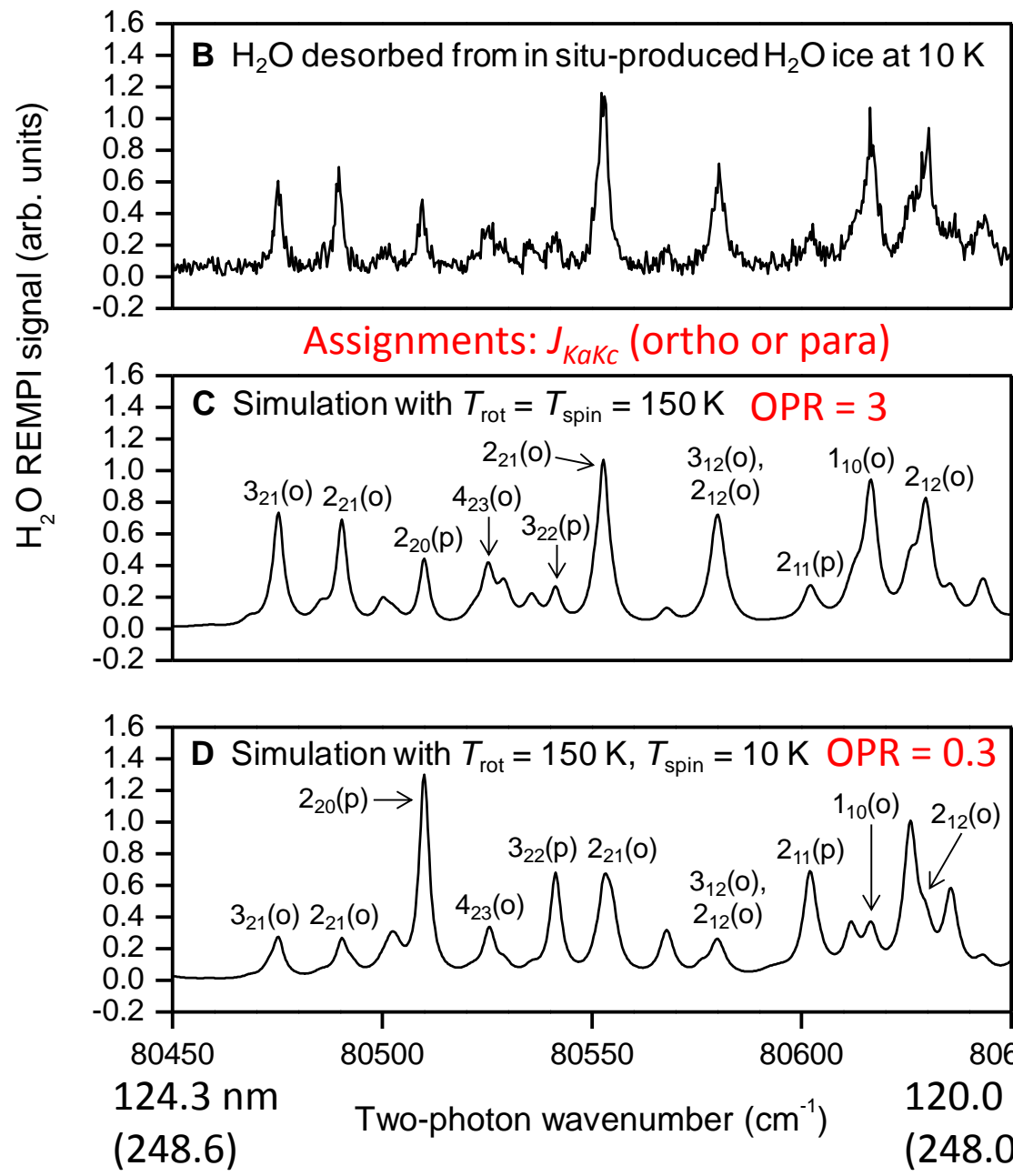
Well-reproduced with OPR = 3.

↑↓
Para-H₂O signals are too strong with OPR = 0.3 (10 K).

The OPR is not related to the ice formation temperature.

Meijer et al., JCP 85, 6914 (1986).

REMPI rotational spectrum of H₂O thermally desorbed from ice at 150 K (comet coma)

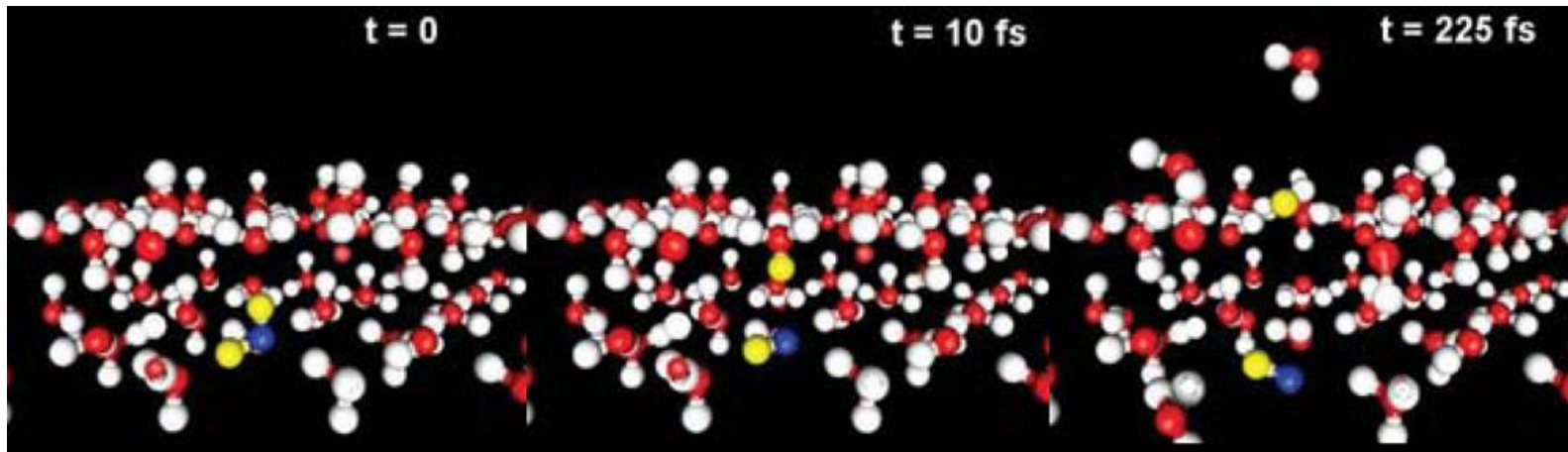
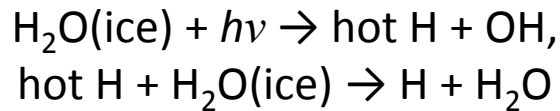


Photodesorption mechanism

Theory: Andersson, and van Dishoeck, *Astron. Astrophys.* , 491, 907 (2008).

Experiment (My PhD work): Hama et al., *JCP.* 132, 164508 (2010).

“kick-out” : An H_2O molecule is desorbed without intramolecular bond dissociation by the momentum transfer from an energetic H atom photodissociated from a neighboring H_2O .



The T_{rot} value of 200 K \approx calculated values for the kick-out mechanism of H_2O ($T_{\text{rot}} = 300 \pm 50 \text{ K}$).

**The desorbed H_2O preserves the original OPR of the ice.
Why the statistical value (OPR=3) ?**

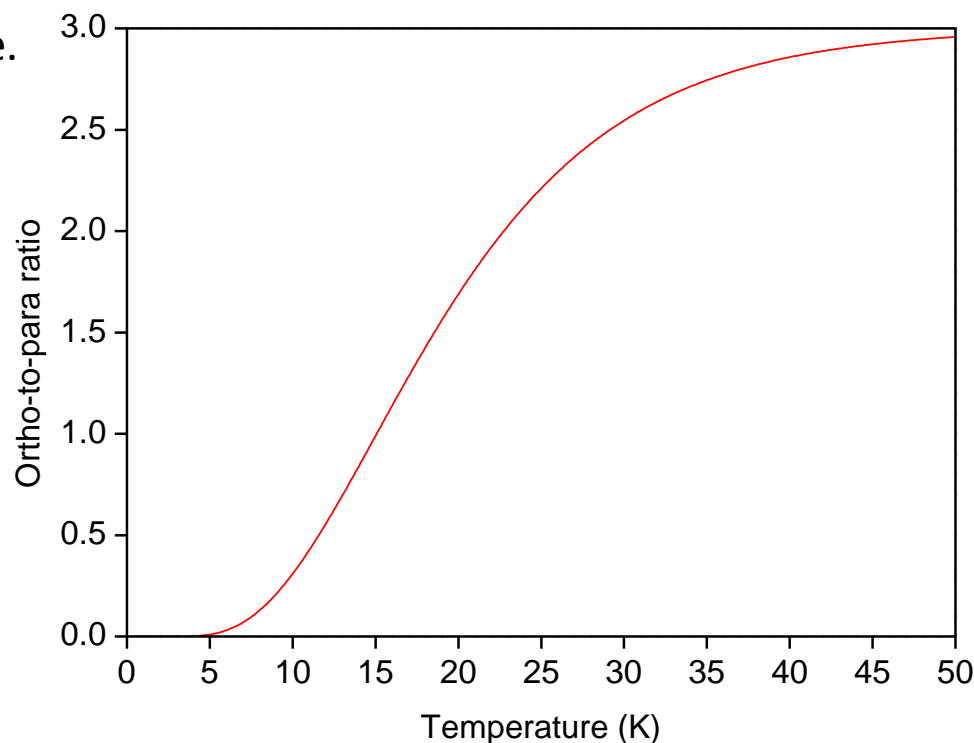
Large difference of rotational state of H₂O between the gas and solid phases.

Check the definition of spin temperature.

Spin and rotational degeneracy

$$\text{OPR} = \frac{3 \sum (2J + 1) \exp \left[\frac{-E_o(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}{\sum (2J + 1) \exp \left[\frac{-E_p(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}$$

Rotational energy !!

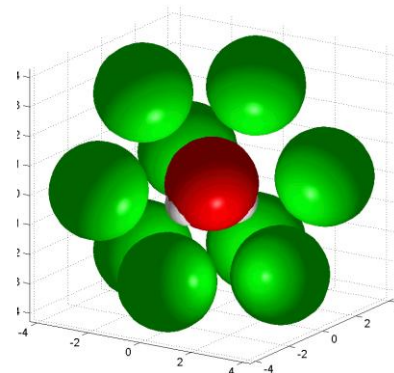
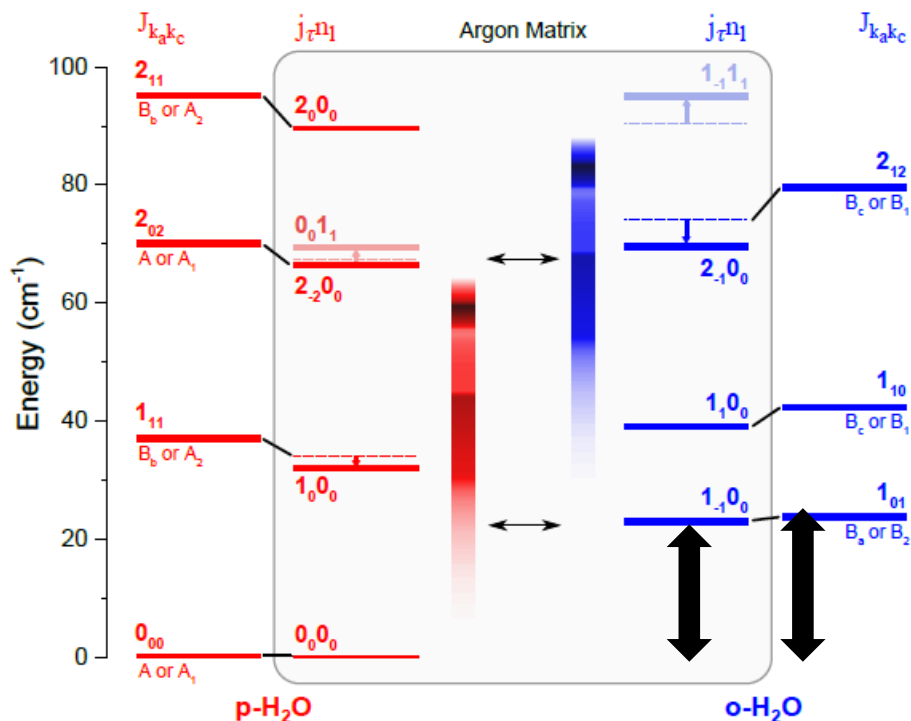


Due to “the rotational energy difference” ($\Delta E = 23.8 \text{ cm}^{-1}$, 34.2 K), Para-H₂O ($J_{K_a K_c} = 0_{00}$) is more stable than ortho-H₂O ($J_{K_a K_c} = 1_{01}$).

However, in rotationally hindered system (i.e., solid), the “rotational” energy difference (ΔE_{rot}) generally becomes small. (perturbation theory)

The T_{spin} curve only applies to H₂O in the gas phase, i.e., when the molecules are free to rotate.

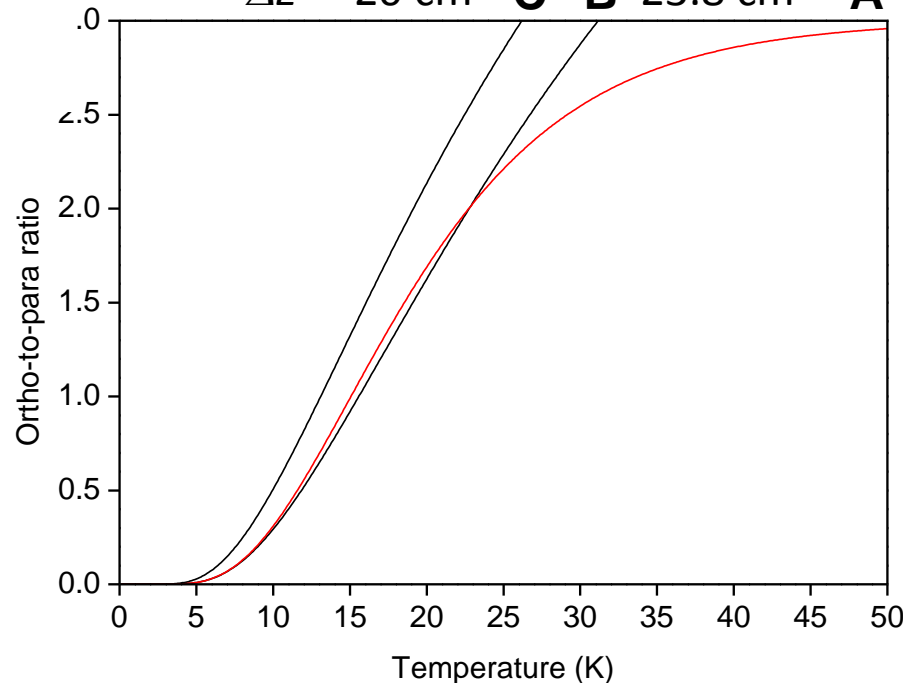
Even in a solid Ar matrix, the ΔE_{rot} becomes small $\sim 20 \text{ cm}^{-1}$ (23.8 cm^{-1} in the gas).



Turgeon et al.,
arXiv:1611.08453 (2016)
Michaut et al.,
Vib. Spec. 34 83 (2004)

**The OPR can reach 3
at low temperature and low ΔE .**

$\Delta E = 20 \text{ cm}^{-1}$ **C** **B** 23.8 cm^{-1} **A**



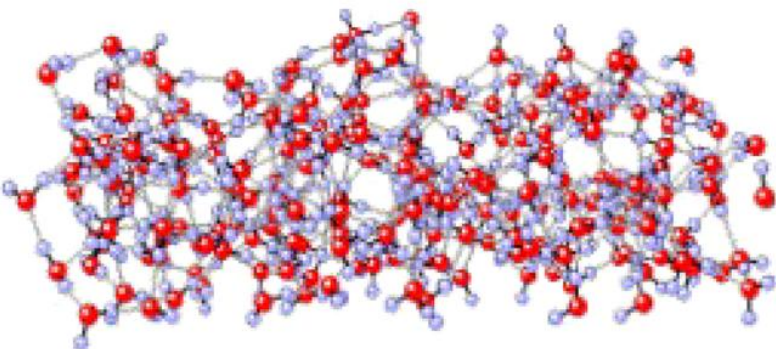
Approximated OPR- T_{spin} curve

(Only considering the lowest rotational states)

$$\text{OPR} = \frac{3 \sum (2J + 1) \exp \left[\frac{-E_o(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}{\sum (2J + 1) \exp \left[\frac{-E_p(J_{K_a, K_c})}{k_B T_{\text{spin}}} \right]}$$

$$\approx 9 \exp \left(\frac{-\Delta E}{k_B T_{\text{spin}}} \right)$$

In ice, H₂O has a high barrier (6700 K) to rotation because of its hydrogen bonds.
 Wittebort et al., J. Am. Chem. Soc. 110, 5668–5671 (1988).



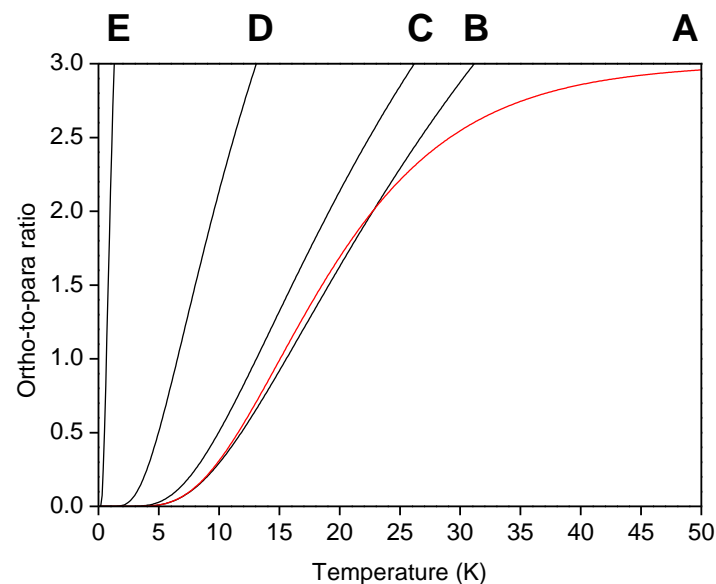
Suter et al., Chem. Phys. 2006, 326, 281

Both ortho- and para-H₂O are no longer free rotors.
 → The same energy level !!

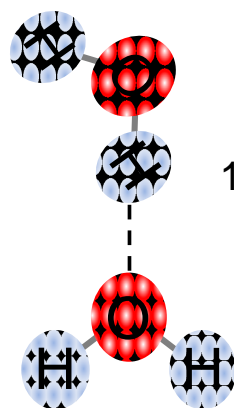
The quenched ΔE value: $3 \times 10^{-13} \text{ cm}^{-1}$ ($5 \times 10^{-13} \text{ K}$).

Buntkowsky et al., Z. Phys. Chem. 222, 1049 (2008).

$\Delta E = 1 \text{ cm}^{-1}$ $\Delta E = 10 \text{ cm}^{-1}$



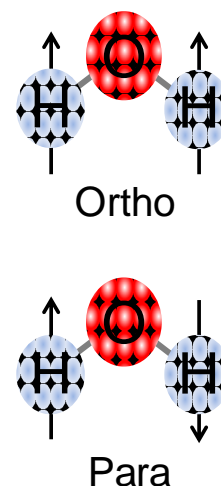
H₂O in ice



$\Delta E_{\text{rot}} :$
 10^{-13} cm^{-1}

Gaseous H₂O

$\Delta E_{\text{rot}} :$
 23.8 cm^{-1}



At 10 K, the thermodynamic stability of
 ortho- and para-H₂O should be comparable in ice.

Fast nuclear spin conversion of H₂O occurs in ice

NSC can occur by ortho-para state mixing induced by magnetic perturbation.
(e.g., paramagnetic catalysis, such as O₂)

Fillion et al., EAS Pub. Ser., (2012), 58, 307., Fukutani and Sugimoto, Prog. Surf. Sci., (2013), 88, 279.
Hama, and Watanabe, Chem. Rev. 113, 8783 (2013).

Perturbation theory (in two levels):

Ψ_- , Ψ_+ : Perturbed wave functions

Ψ_a , Ψ_b : Unperturbed wave functions

$|\langle a|H_1|b\rangle|$: Strength of perturbation

ΔE_{ab} : Energy difference

$$\Psi_- \approx \Psi_a + \frac{|\langle a|H_1|b\rangle|}{\Delta E_{ab}} \Psi_b$$

$$\Psi_+ \approx \Psi_b - \frac{|\langle a|H_1|b\rangle|}{\Delta E_{ab}} \Psi_a$$

When $|\langle a|H_1|b\rangle| \gg \Delta E_{ab}$,
or in a degenerate system, $\Delta E_{ab} = 0$,
each perturbed state is a complete mixture of the two states.

$$\Psi_- = \frac{\Psi_b + \Psi_a}{\sqrt{2}}$$

$$\Psi_+ = \frac{\Psi_b - \Psi_a}{\sqrt{2}}$$

In ice, ortho- and para-states are strongly mixed, because of
 (1) Magnetic interactions by protons of H₂O molecules in ice.
 (2) Rotational quenching

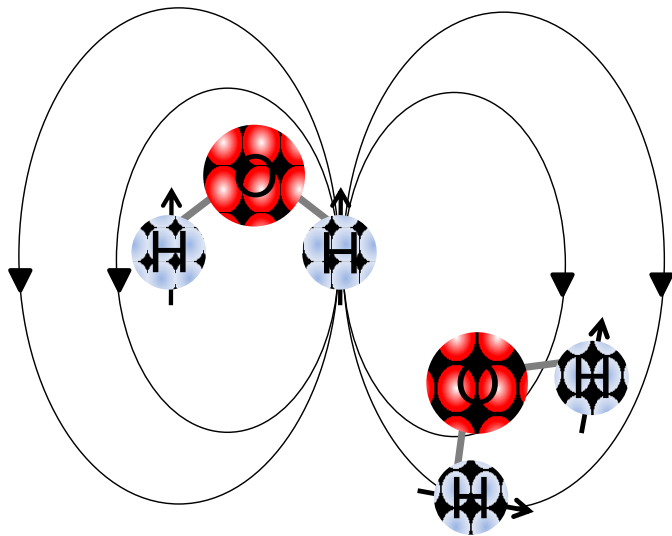
$$10^{-7} \text{ to } 10^{-6} \text{ cm}^{-1} > \Delta E_{\text{rot}} (10^{-13} \text{ cm}^{-1})$$

In ice, each proton feels magnetic fields
 created by all protons in ice.

Intermolecular proton–proton
 magnetic dipolar interactions.

$$10^{-7} \text{ to } 10^{-6} \text{ cm}^{-1}$$

(In terms of NMR, 10^5 to 10^4 Hz)

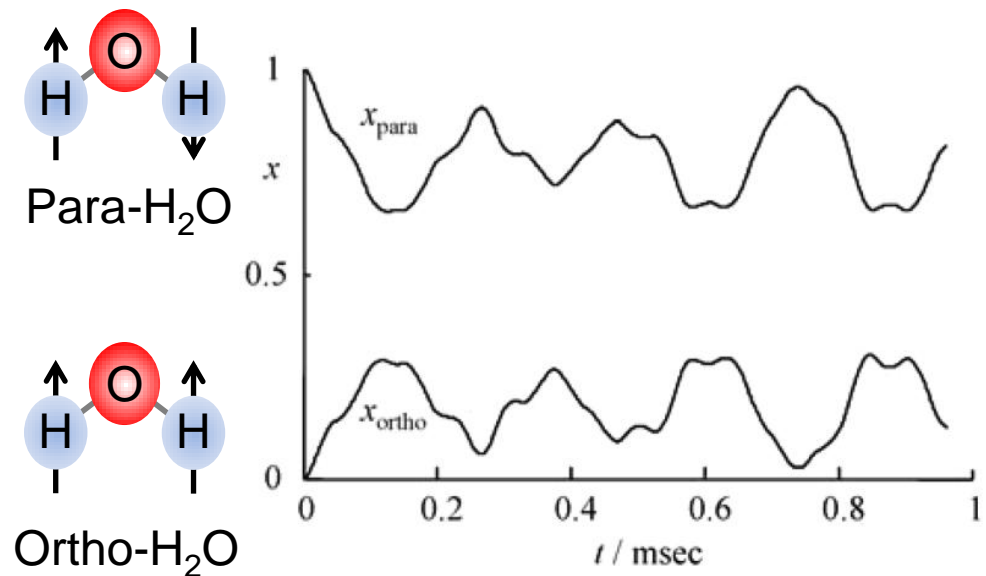


Fast nuclear spin conversion of H₂O in ice.

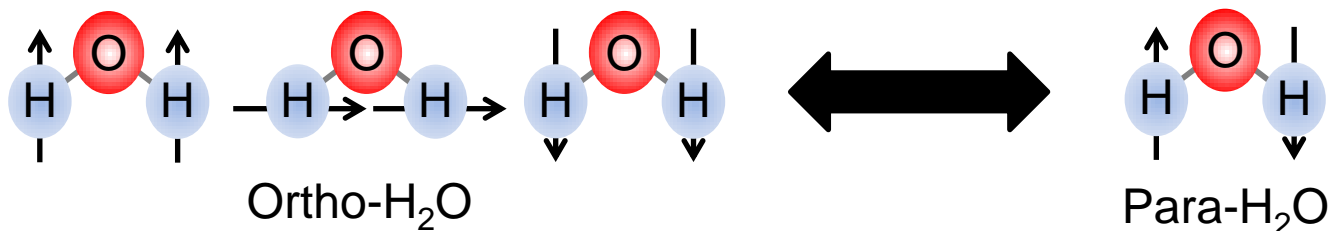
Limbach et al. 2006, Chem. Phys. Chem., 7, 551.

Buntkowsky et al. 2008, Z. Phys. Chem., 222, 1049.

Time scale: the inverse of the strength of
 the dipolar interaction, 10^{-5} to 10^{-4} s !!



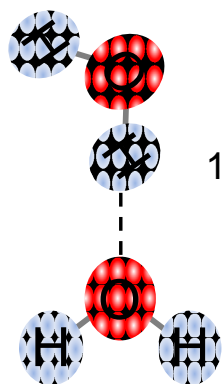
**The OPR of H₂O in ice is practically
in dynamic equilibrium at the statistical value of 3.**



**(1) Comparable thermodynamic stability of
ortho- and para-H₂O by rotational quenching**

**(2) Fast continuous
ortho-para interconversion**

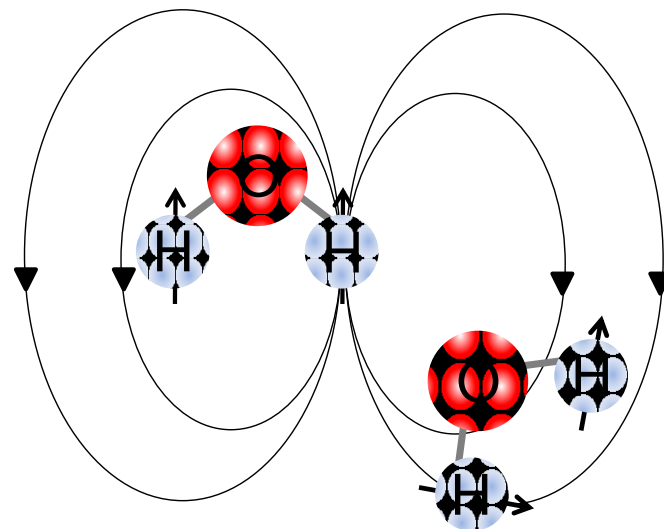
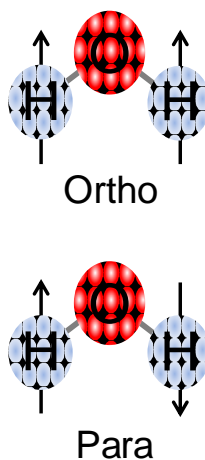
H₂O in ice



$\Delta E_{\text{rot}} : 10^{-13} \text{ cm}^{-1}$

$\Delta E_{\text{rot}} : 23.8 \text{ cm}^{-1}$

Gaseous H₂O

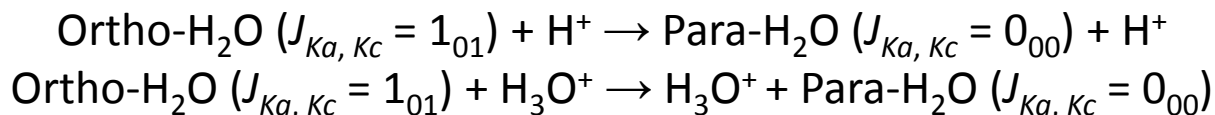


Note: More precisely, the ortho and para states are mixed in ice.

The origin of the anomalous OPRs of interstellar H₂O is still an open question.

The gas-phase chemistry may be important.

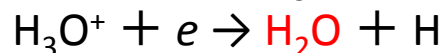
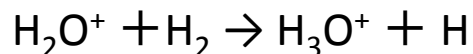
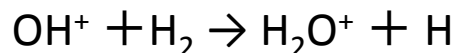
(1) The NSC of H₂O via its chemical reaction with ions such as H⁺ or H₃O⁺.



These two reactions can be endothermic at low temp., because $\Delta E_{\text{rot}} = 34.2$ K.

Might lead to para-enrichment of H₂O.

(2) Gas-formation processes.



H₂, H₂O⁺, H₃O⁺ have nuclear-spin isomers. Nuclear-spin effects should be considered.

However, accurate state-to-state rate coefficients at low temperature are unknown...

Fully quantum mechanical (including nuclear-spin effects) gas-chemistry.

Gas-phase converion:

Nuclear-spin selection rules:

Lique et al., Int. Rev. Phys. Chem. 33, 125 (2014).

Gerlich et al., Proc. R. Soc. A. 364, 3007 (2006).

Summary

(1) H₂O desorbed from ice at 10 K shows a statistical high-temperature OPR of 3, even when the ice is produced in situ at 10 K.

(2) **Practically, the OPR of H₂O in ice is in dynamic equilibrium at the statistical value.**
Comparable thermodynamic stability of ortho- and para-H₂O by rotational quenching
(Note: only H₂ can rotate on/in solid state)

Fast continuous ortho-para interconversion

(3) Reinterpretation of previous observations is necessary.

(4) Importance of the gas-phase chemistry.

(a) The NSC of H₂O via its reaction with H⁺ or H₃O⁺.

(b) Gas-formation processes.

Hama, and Watanabe, Chem. Rev. 113, 8783 (2013).

Hama et al., Science 351, 65-67 (2016).

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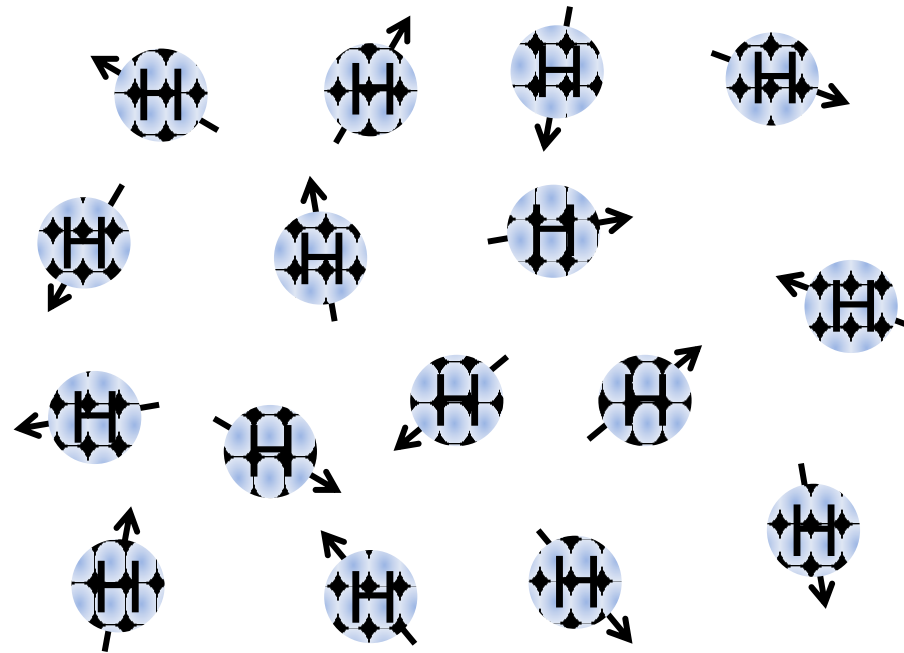
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More precisely, the ortho and para states are not the eigenstates in ice, because they are mixed.
So maybe not very good to use for ice.

However, almost impossible to calculate the spin-state in ice, accounting for couplings of all protons.

For reference, in NMR, water ice is approximated as “an ensemble of protons” for the use of density matrix, rather than ortho-para mixed state.



Other photodesorption mechanisms

- (1) Barrier-less recombination of H and OH on the ice surface,
A vibrationally excited H₂O molecule: $\text{H} + \text{OH} \rightarrow \text{H}_2\text{O} (v > 0)$. $\leftrightarrow \text{H}_2\text{O}(v=0)$ for kick out

H₂O ($v > 0$) is hard to detect with REMPI.

However, recombination photodesorption involves H–OH bond breaking and reformation, which would lead the OPR of the H₂O to be the statistical value of 3.

The OPR = 3 has been confirmed for H₂ formed by recombining H atoms on the ice surface, ($\text{H} + \text{H} \rightarrow \text{H}_2$), which is the same spin system as H₂O because the two protons are coupled.

- (2) Repulsive interaction of an electronically excited H₂O with its neighbors,
It also does not involve bond dissociation of the desorbed H₂O like the kick-out.

- (3) Other chemical desorption processes using the excess energy of photodissociation
(e.g., $\text{OH}' + \text{H}_2\text{O} \rightarrow \text{HOH}' + \text{OH}$, $\text{H}' + \text{H}_2\text{O} \rightarrow \text{H}'\text{OH} + \text{H}$) have not been positively identified.

Even if other photochemical processes do contribute to the desorption, it is still true that the OPR of photodesorbed H₂O is non-thermal, and does not reflect the low surface temperature.

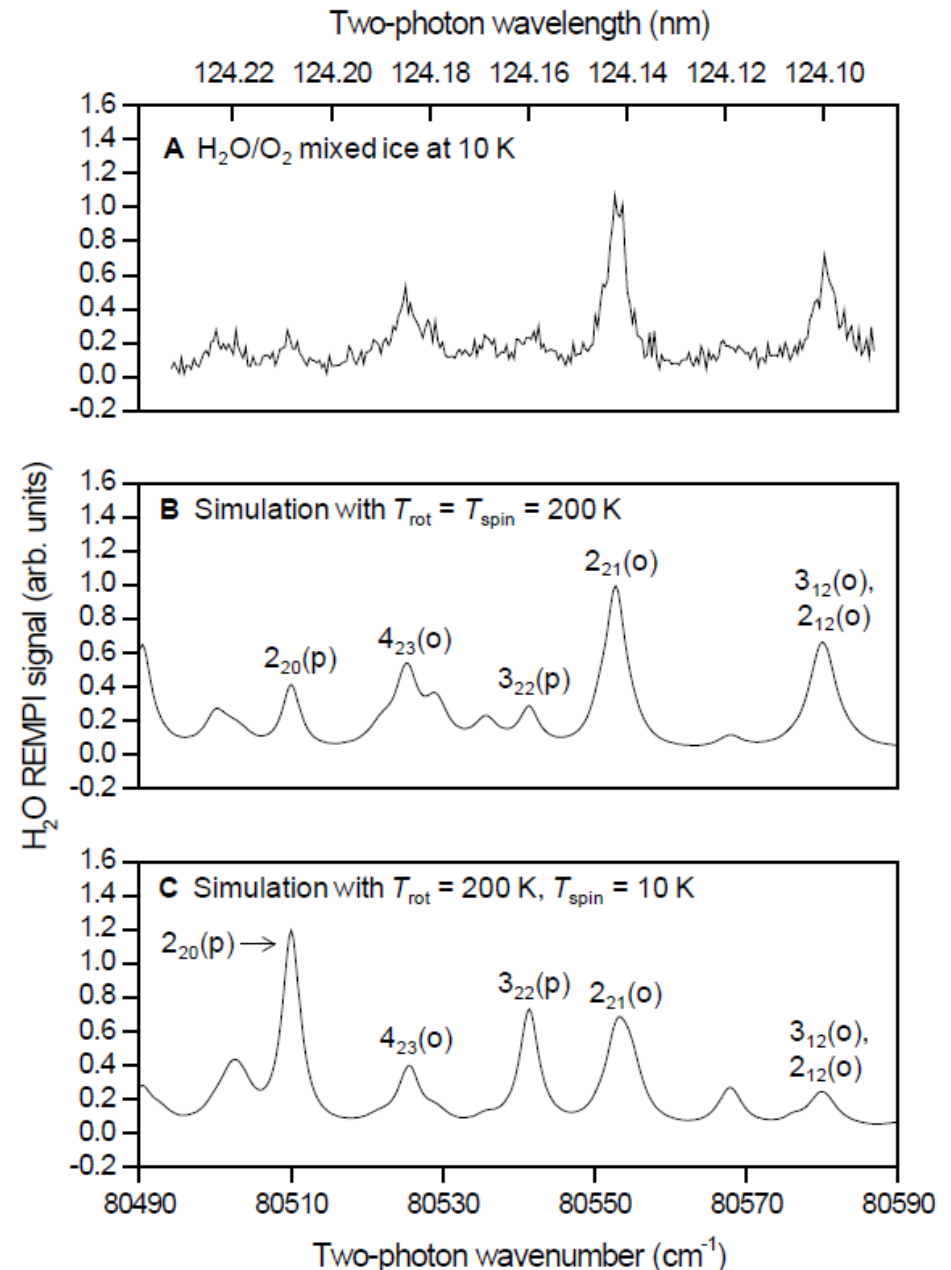
Paramagnetic catalytic effects on the NSC of H₂O during photodesorption.

REMPI spectra of photodesorbed H₂O from H₂O/O₂ (1:1) mixed ice at 10 K.

Stronger ortho-H₂O lines than para-H₂O lines.

Reproduced by the simulation with $T_{\text{rot}} = T_{\text{spin}} = 200$ K.

Although the electron magnetic moment is about -658 times larger than the proton magnetic moment, the timescale for photodesorption (femto-s) is much shorter than that for NSC through magnetic interactions (micro-sec).



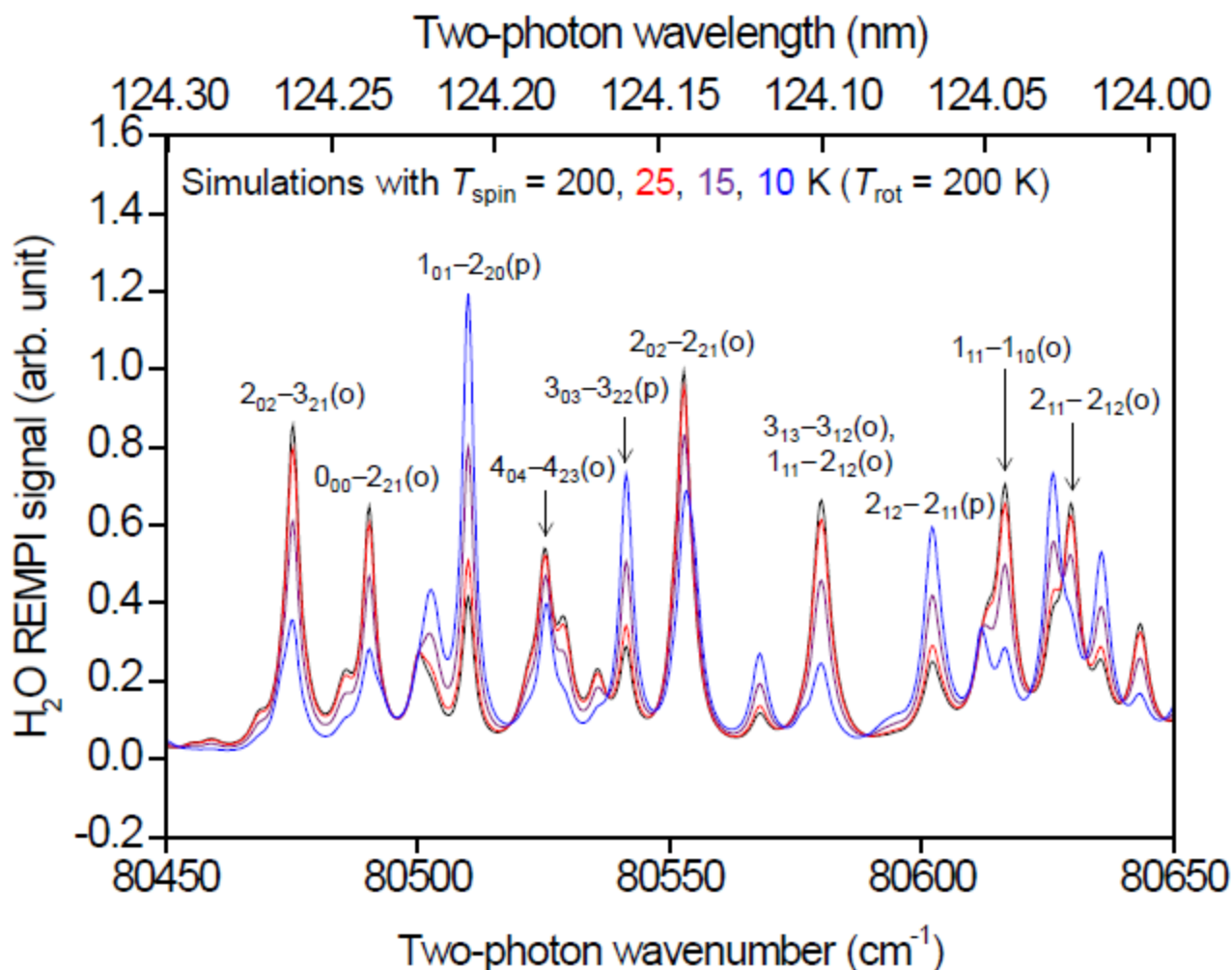


Fig. S3: REMPI spectral simulations. $T_{\text{spin}} = 200$ (black), 25 (red), 15 (purple), and 10 (blue) K. T_{rot} is fixed at 200 K. T_{spin} and T_{rot} represent nuclear spin and rotational temperatures, respectively. Indications ($J'_{Ka',Kc'} - J_{Ka,Kc}$) are rotational assignments of the $\tilde{C}^1B_1(v=0) - \tilde{X}^1A_1(v=0)$ transition in H_2O , where “o” and “p” denote ortho and para, respectively.

Resonance Enhanced Multi-Photon Ionization (REMPI) spectroscopy

Ordinary photoionization techniques cannot distinguish ortho/para H_2O ($m/z = 18$)

One photon ionization Multiphoton ionization 2+1 REMPI

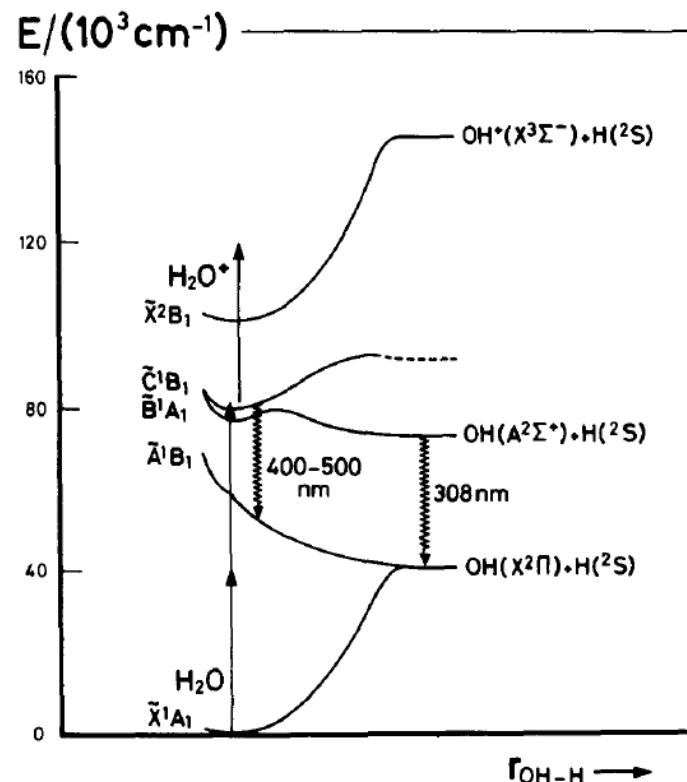
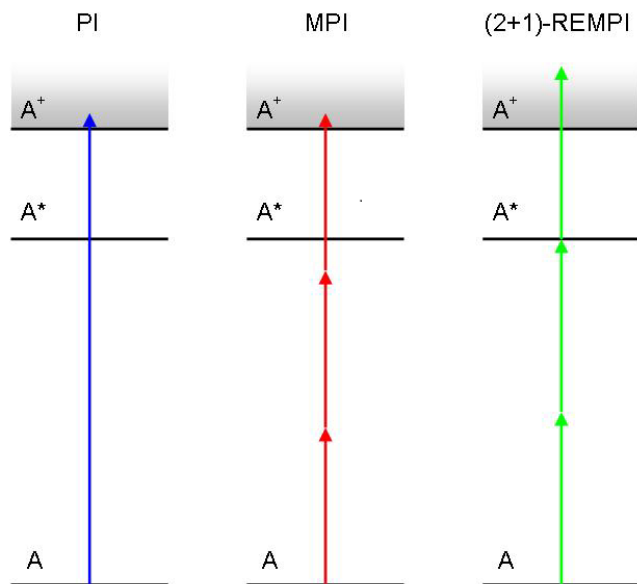


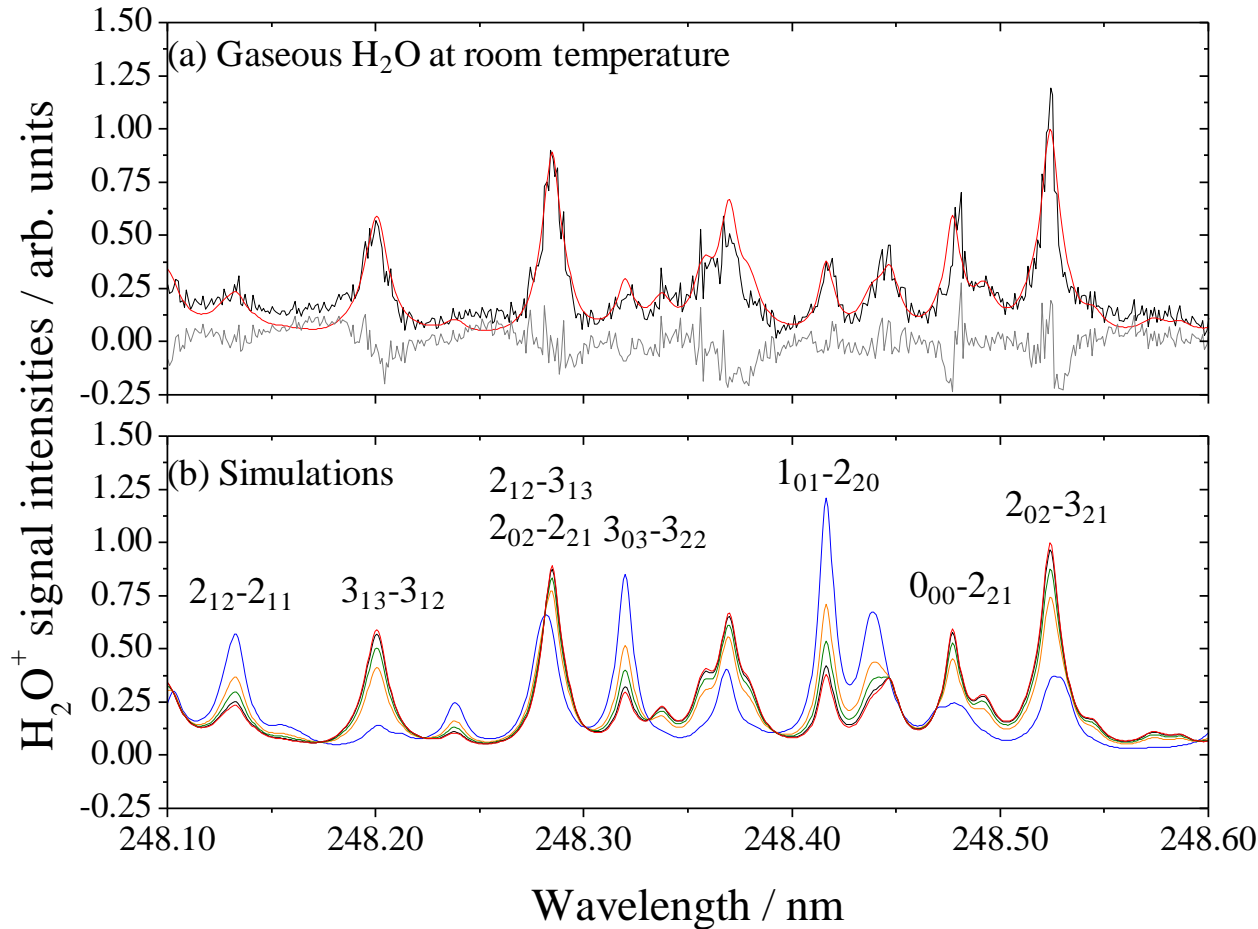
FIG. 1. Correlation diagram showing the processes involved (after Ref. 16).

In 2+1 REMPI of H_2O , 2-photon absorption excites population from the ground X^1A_1 ($v=0, J_{K_a, K_c}$) state to the intermediate C^1B_1 ($v'=0, J'_{K_a', K_c'}$) state. The absorption of one further photon transfers population into the ionization continuum.

Since the REMPI transition is rotationally (i.e., OPR) resolved, we can get the rotational and spin temperature of desorbed H_2O .

2+1 REMPI spectrum of H₂O via the C ¹B₁(v=0) ← X ¹A₁(v=0) transition.

($J'_{Ka',Kc'} \leftarrow J_{Ka,Kc}$) are rotational assignments.



J_{KaKc} (ortho or para)

$T_{\text{rot}} = T_{\text{spin}} = 300 \text{ K}$

Gray; difference

$T_{\text{rot}} = T_{\text{spin}} = 300 \text{ K}$

$T_{\text{rot}} = 300 \text{ K}, T_{\text{spin}} = 30 \text{ K}$

$T_{\text{rot}} = 300 \text{ K}, T_{\text{spin}} = 20 \text{ K}$

$T_{\text{rot}} = 300 \text{ K}, T_{\text{spin}} = 15 \text{ K}$

$T_{\text{rot}} = 300 \text{ K}, T_{\text{spin}} = 8 \text{ K}$

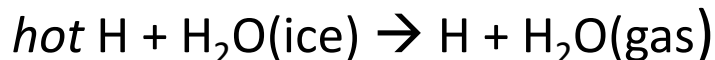
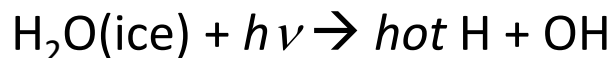
Detector

T_{rot} and T_{trans} are different.

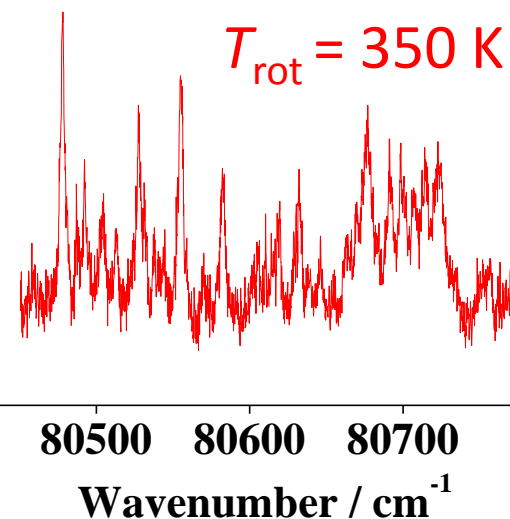
Not in thermodynamic equilibrium.

No local heating (non-equilibrium process)

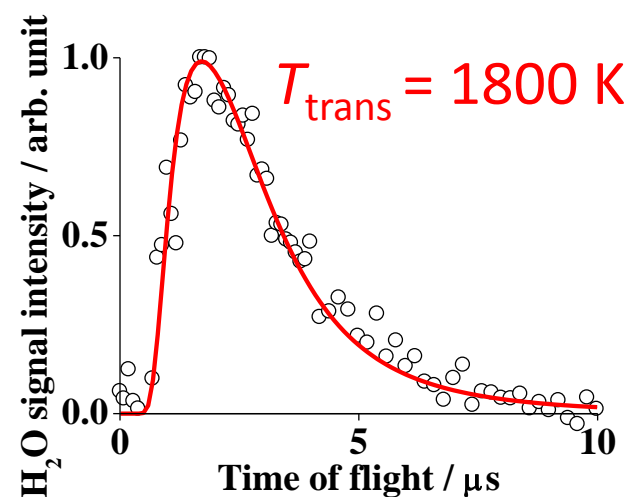
“kick-out”



Rotational spectrum



Laser-delay spectrum



157 nm

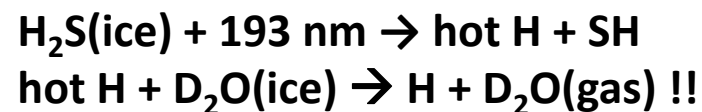
Photodissociation

2 mm

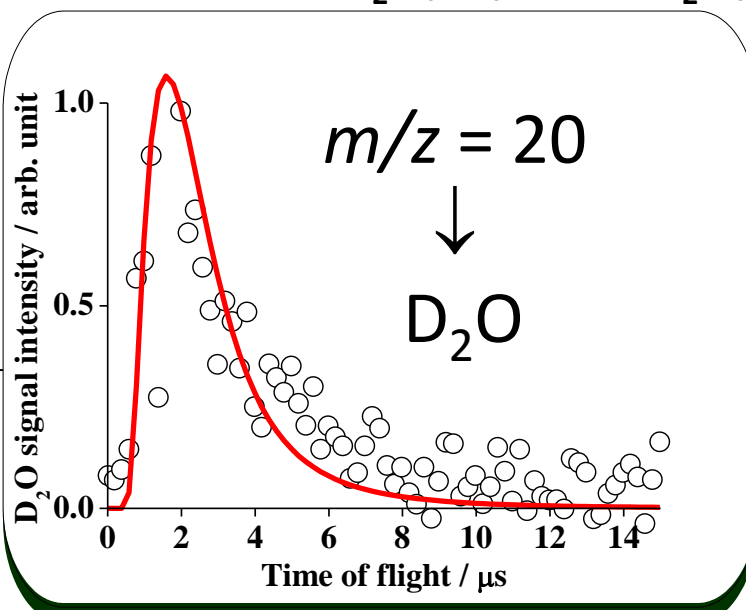
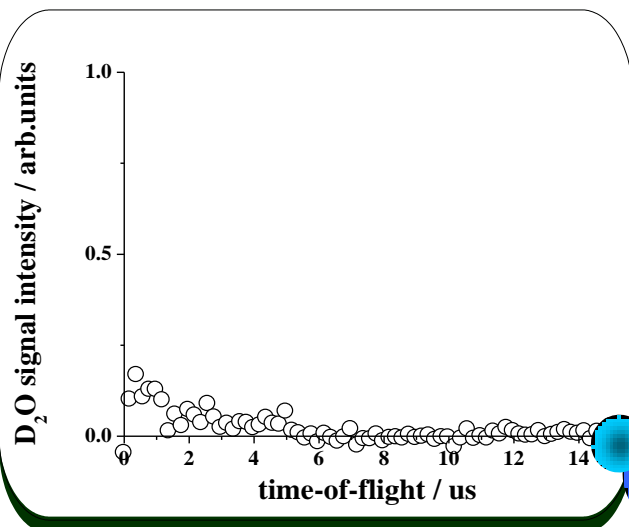
ice film

Au

“kick-out”: D_2O detection from $\text{D}_2\text{O} + \text{H}_2\text{S}$ mixed ice.



No D_2O following 193 nm irradiation of water ice.



D_2O was not observed.



193nm

Neat D_2O ice

D_2O was observed.

193nm

D_2O

H_2S

D_2O

